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1044 U.S. PTO

7-9-01

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JC872 U.S. PTO
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07/06/01

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Express Mail No.: EL674750127US
Attorney Docket No.: 2003696-0003
Date Filed: July 6, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

Given Name (first and middle) Family Name or Surname Residence

Brent	Carlson	Rochester, MN
Tim	Graser	Rochester, MN
Debra	Mariner	Oronoco, MN
John	Palof	Kenyon, MN
Paul	Tamminga	Rochester, MN

Title of the Invention: TARGETED ASSET CAPTURE, IDENTIFICATION,
AND MANAGEMENT

Express Mail No.: EL674750127US
Date Filed: July 6, 2001

A) APPLICATION ELEMENTS:

- 1) **Fee Transmittal Form** (original and duplicate submitted for fee processing)
- 2) **Applicant Claims Small Entity Status** (see 37 CFR 1.27)
 - a) Statement Verifying Small Entity Status (optional)
- 3) **Specification** TOTAL PAGES: 25
 - Descriptive Title of the Invention
 - Cross References to Related Applications (if applicable)
 - Statement Regarding Federally Sponsored R & D (if applicable)
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Summary of the Invention
 - Description of the Drawing (if filed)
 - Detailed Description of the Invention
 - Claim(s)
 - Abstract of the Invention
- 4) **Drawing(s)** (35 U.S.C. § 113) TOTAL SHEETS: 27
 - a) Formal Drawings (if checked)
- 5) **Oath or Declaration** TOTAL PAGES: _____
 - a) Newly Executed (original or copy)
 - b) Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
 - i) Deletion of inventor(s): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
 - c) Unexecuted
- 6) **Application Data Sheet.** See 37 CFR 1.76.
- 7) **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
- 8) **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are necessary)
 - a) Computer Readable Form (CRF)

b) Specification Sequence Listing on:

- i) CD-ROM or CR-R (2 copies); or
- ii) Paper

c) Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

- 9) **Assignment Papers** (cover sheet & document(s))
- 10) **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)
- 11) **Power of Attorney**
- 12) **English Translation Document** (if applicable)
- 13) **Information Disclosure Statement (IDS)/PTO-1449**
- 14) **Copies of IDS Citations**
- 15) **Preliminary Amendment**
- 16) **Return Receipt Postcard (MPEP 503)** (specifically itemized)
- 17) **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
- 18) **OTHER:** (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

CONTINUATION DIVISIONAL CONTINUATION-IN-PART (CIP)

OF PRIOR APPLICATION NO: _____

FILED: _____

EXAMINER: _____

GROUP/ART UNIT: _____

FOR CONTINUATION OR DIVISIONAL APPLICATIONS ONLY: THE ENTIRE DISCLOSURE OF THE PRIOR APPLICATION, FROM WHICH AN OATH OR DECLARATION IS SUPPLIED AS DETAILED ABOVE, IS CONSIDERED A PART OF THE DISCLOSURE OF THE ACCOMPANYING CONTINUATION OR DIVISIONAL APPLICATION AND IS HEREBY INCORPORATED BY REFERENCE.

D.) PRIORITY CLAIM(S):

This application claims the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

Application Number	Filing Date	Status

PCT Applications designating the United States:

Application Number	Filing Date	Status

This application claims the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Provisional Application(s):

Application Number	Filing Date	Status

E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

- Applicant claims small entity status 37 CFR 1.27
- A check is enclosed to cover the filing fees as determined on the fee transmittal enclosed herewith

The commissioner is hereby authorized to charge filing fees or credit any overpayment to deposit account number 03-1721.

Total filing fee amount \$772.00

F) CORRESPONDENCE ADDRESS:

Customer Bar Code Label:



24280

PATENT TRADEMARK OFFICE

Correspondence Address:

Elizabeth E. Nugent, Ph.D.
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53 State Street
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Boston, MA 02109
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Respectfully Submitted,

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Express Mail No.: EL674750127US
Date Filed: July 6, 2001

The PTO did not receive the following
listed item(s) check amt. \$ 732,
Receive check amt. 723

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	732.00
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Complete if Known

Application Number	
Filing Date	July 6, 2001
First Named Inventor	Brent Carlson, et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	2003696-0003

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1721**

Deposit Account Name **Choate, Hall & Stewart**

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	\$ 355
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	
SUBTOTAL (1)		(\$) 355.00	

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
53	-20** = 33	x 9.00	=\$297
Independent Claims	5 - 3** = 2	x 40	= 80
Multiple Dependent			

Large Entity Fee Description

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$) 377.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)	(\$)

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Elizabeth E. Nugent., Ph.D.	Registration No. (Attorney/Agent)	43,839	Telephone 617-248-5000
Signature	<i>Elizabeth E. Nugent</i>	Date	July 6, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.